# How Similar Are They? Rethinking Electoral Congruence

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#### Abstract

Electoral continuity and discontinuity have been a staple of voting research for decades. Most researchers have employed Pearson's r as a measure of congruence between two electoral outcomes across a set of geographic units. This paper argues that that practice should be abandoned. The correlation coefficient is the wrong measure, and tends to give inflated estimates of the degree of persistence. The paper recommends other measures that better accord with what researchers usually mean by electoral persistence. Replications of prior studies in American and comparative politics demonstrate that the consequences of using the wrong measure can be stark. In some cases what we think are continuities are actually discontinuities.

#### 1 Introduction

Electoral continuity and discontinuity have been for decades a staple of voting research. From the study of the "Solid South" and its demise in the US to the "freezing hypothesis" in Europe and party system change in the Americas and elsewhere, scholars have employed quite sophisticated methods to illustrate subtle patterns in the evolution of electoral behavior. These analyses have informed how we think about partisanship as well the ways parties interact with their electorates and with each other. This paper addresses important ambiguities in the way electoral stability and instability are conceptualized and measured. In particular, I show that for an important class of problems, researchers conflate two very different concepts of stability, one capturing the degree of association, and the other the degree of agreement, between two electoral outcomes. These errors are compounded by the near-universal use of Pearson's correlation coefficient as a measure of persistence. The paper argues that Pearson's r almost never measures what researchers think it does, and should be abandoned. It shows that what researchers usually mean by electoral persistence can be captured more accurately by either a rank order correlation coefficient such as Kendall's Q or a measure of absolute agreement. Replications of prior studies from American and comparative politics demonstrate that the consequences of using the wrong measure can be stark. In some cases what we think are continuities are actually discontinuities. The paper concludes by discussing some further pitfalls with correlational measures and applications beyond electoral persistence.

### 2 The Basic Schema

It is best to begin with a generic example. Figure 1 displays a scatterplot of points, where the horizontal axis represents the fraction of the vote for a particular party (or bloc or tendency) at time i, and the vertical axis represents the vote for that same party (or bloc or tendency) at time j. With appropriate labels for the party, elections, and units, such a scenario occurs quite often in electoral research, even where a scatterplot is not literally employed. It is central to the study of political continuity and discontinuity in redemocratizing regimes in Eastern Europe, Southern Europe, and Latin America, where more often than not the elections under consideration are separated by decades. Wittenberg (2006), for example, employs this schema to explore continuities in support for the Right between pre- and post-communism across Hungarian settlements. Similarly, Linz (1980) identifies continuities and discontinuities in support for the Left and Right at the provincial level between preand post-Franco Spain. This framework also informs some strands of research on long-term continuities in American political development. Key and Munger (1959) inspired a generation of further research on the "standing electoral decision" through their exploration of persistent voting allegiances across Indiana counties.<sup>2</sup> In all these instances, the Pearson correlation coefficient, r, has remained the statistic of choice

<sup>&</sup>lt;sup>1</sup>Other examples include Maravall (1982), Valenzuela and Scully (1997), and Montes, Mainwaring, and Ortega (2000).

<sup>&</sup>lt;sup>2</sup>See also Burnham (1968), Levine (1976), and Gimpel and Schuknecht (2002).

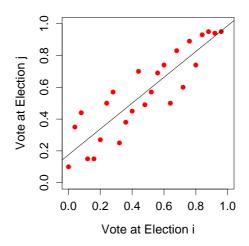


Figure 1: Generic comparison of two electoral outcomes across a set of units, with the regression line.

to characterize the relationship among the points. Unfortunately, at best r indicates a very weak form of continuity; at worst, and almost always in practice, it is fundamentally misleading.

# 3 Conceptualizing Continuity: Relative Strength

At least two distinct senses of political continuity can be distilled from the literature. In this section we examine the more common but far weaker of the two, which focuses on the relative weakness and strength across districts of some party (or bloc or tendency) over time. Suppose a party performs weakly in some districts and strongly in others in some election. Suppose at some later election that the same party competes and performs weakly in some districts and strongly (say) in others. The degree of persistence is then the extent to which strong performance in the earlier election is associated with strong performance in the latter one. This conceptualization does not depend on any particular definition of "weak" and "strong" provided the researcher is comfortable comparing what counts as weakness or strength in one period with what counts as weakness or strength in another. For example, in a multiparty system winning a plurality of the district vote in both time periods would be a possible definition of strength. Another possibility would be to define weakness and strength relative to the average performance of the party across districts. A weak performance in some district is then one that falls below the average performance for that election, a strong one being above the average. In a two-party system like the U.S., the dividing line between weakness and strength might be 50 percent of the vote rather than a plurality.

This two-party version is precisely the setup Key and Munger (1959) employ in their classic work on the "standing electoral decision" in American politics. They investigate the persistence in voting allegiances through an analysis of electoral behavior in Indiana counties between 1868 and 1948, and note that "where the Republicans were strong in 1920, they were relatively strong in 1948; where the Democrats

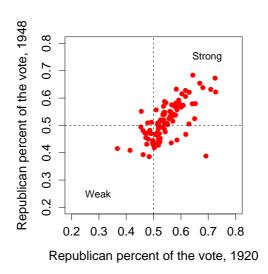


Figure 2: The traditional vote across Indiana counties (according to Key and Munger, 1959, Figure 15-2.), with "weak" and "strong" Republican counties identified. Source: Recomputed from ICPSR Dataset 1, United States Historical Electoral Returns 1824-1968.

were weak in 1920, they were relatively weak in 1948" (pp. 283-286).

Figure 2 is a replication of this finding, with dashed horizontal and vertical lines imposed superimposed imposed to separate "weak" from "strong" electoral performances. Points in the lower left quadrant indicate counties where the Republican Party was weak in both 1920 and 1948. The upper right quadrant contains counties where the Republicans were strong in both elections. To determine the magnitude of persistence Key and Munger correlate the actual electoral outcomes and find that r = .68 for the Republican vote between 1920 and 1948. They interpret this, along with other evidence, as representing "the balance between two opposing party groups each with striking powers of self-perpetuation."

Correlating the outcomes in this way is the wrong procedure for ascertaining the degree of persistence in terms of relative strength and weakness over time. The reason is that the result of such a computation will be sensitive to even small changes in party performance in a municipality, whereas the logic of relative strength implies that any weak (strong) performance should be considered equivalent to any other weak (strong) performance, regardless of whether the actual results are nearly identical or relatively far apart. For example, Tipton county, which gave the Republicans roughly 51 percent to the Republicans in both 1920 and 1948, and Steuben county, whose support was 67 and 72 percent, respectively, both get coded as "strong" Republican counties and thus have identical outcomes from the relative strength perspective. More generally, any municipality within a given quadrant is considered equivalent to any other within the same quadrant regardless of where they happen to fall within the quadrant. Pearson's r, however, is sensitive to within-quadrant variance.

The appropriate measure of association in a relative strength scenario is one such as Yule's Q that respects the binary nature (weak vs. strong) of the underlying persistence concept but discounts the absolute differences among units within each category. With this notion of association perfect persistence (Q=1) would obtain if all points in Figure 2 were in the lower-left and upper-right quadrants: weak Republican counties remained weak, while strong ones remained strong.<sup>3</sup> The underlying logic is the same if outcomes are coded into more than two categories (such as "weak", "middling", and "strong") as long as one uses an appropriate measure of association such as one of Kendall's rank order coefficients.

Thus far I have argued that the correlation coefficient r is the wrong measure of persistence if persistence is understood as relative strength over time, and that the fix is easy: replace r with an appropriate rank order correlation coefficient. Yule's Q = .86 for Key and Munger's data, significantly higher than their reported r = .68. Key and Munger could well have claimed far more political continuity than they actually did. Yet there is a fly in the ointment, one that I suspect Key and Munger knew well: a weakness of the relative strength understanding of persistence is that it throws away much of the information in the data by discounting absolute differences among outcomes. The concomitant reduction in variance often inflates the value of the measure of association. To make matters worse, even perfect persistence is consistent with a wide variation in actual electoral outcomes since any unit within a quadrant is treated as equivalent to any other within the same quadrant. The more fundamental problem, then, is that the relative strength understanding of persistence is itself flawed. Unfortunately, as we shall see in the next section, the problems with r do not go away, and arguably get worse, if we adopt an interpretation of persistence that incorporates all deviations from absolute agreement.

# 4 Conceptualizing Continuity: Absolute Agreement

The second understanding of continuity emphasizes the absolute agreement among outcomes across units. In this conceptualization the degree of persistence is understood as the extent to which a party in a later election exactly duplicates its performance from a prior election across some set of districts. The difference between relative strength and agreement of results is subtle but crucial. Both refer to concordances among outcomes, but the former compares rank orderings, while the latter treats even small differences as real deviations. Conceptually, agreement is more clear-cut than relative strength. We saw in the previous section that even conditional on a given definition of weakness and strength perfect relative strength is consistent with a wide variety of actual outcomes. Perfect agreement, by contrast, is produced in one and only one way: the latter results must be identical to the former ones for all units. The notion of absolute agreement also better accords with our intuitive notion of persistence as indicating the unchanged continuation of

<sup>&</sup>lt;sup>3</sup>There are, in addition, degenerate cases of persistence, in which all points are either in the lower left or upper right quadrants, but not spread across both. Here the data would collapse to a single point.

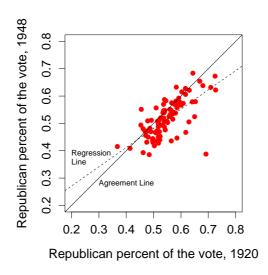


Figure 3: The traditional vote across Indiana counties (according to Key and Munger, 1959, Figure 15-2.), with lines of regression (dotted) and agreement (solid) added.

something from before.

Although a consideration of absolute differences would appear to augur well for the use of r, in fact it does not. The reason is that Pearson's r is a measure of linearity, not similarity: its magnitude indicates the degree of deviation around the points' regression line. Figure 3 depicts Key and Munger's data with the quadrants removed and the regression (dotted) and agreement (solid) lines added. The line of agreement is angled at 45 degrees. If the outcome in 1948 had been identical to that in 1920, then all the points would lie on this line. Any deviation from the line indicates lack of complete agreement. Note that the lines of agreement and regression coincide only where the estimated bivariate regression slope equals one and the intercept equals zero. In general, if persistence is understood in terms of absolute agreement, then we should be measuring deviation from the line of agreement rather than the regression line.

The consequences of employing r when it is inappropriate may in fact be starker than the Key and Munger example,, where the regression and agreement lines are relatively close together, suggests. To see why consider the panels in Figure 4, which feature two different versions of the basic schema. In each panel the electoral results across districts are perfectly correlated, yet only the points in panel (a) exhibit perfect persistence. The difference between the two lies in the intercepts and slopes of the associated regression lines. In panel (a) each outcome in election j is identical to that in election i, so that all the points lie on the 45 degree line having a slope of one and intercept of zero. In panel (b), by contrast, the regression line has slope .04 and intercept .1. For panel (b) this means that 25 percent support in a district in election i translates into 11 percent in election j, 50 percent translates into 12 percent, and 75 percent translates into 13 percent. Despite a perfect correlation among the points,

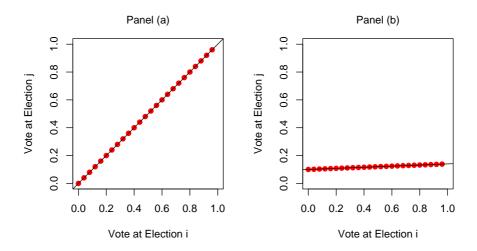


Figure 4: The Perils of r as Measure of Persistence. r = 1 for both panels, but panel (a) exhibits far more persistence than panel (b).

there is virtually no similarity between performance in elections i and j. Clearly similar arguments hold if the points were spread about their corresponding regression lines rather than right on them.

Measuring persistence should be measured with reference to deviation about the 45 degree line of agreement rather than the points' corresponding regression line has the added benefit of enhancing our ability to compare levels of persistence across different research problems. Suppose two researchers using Pearson's r each found perfect continuity in their data. In the absence of other information there is no way to know whether the actual outcomes are closer to panel (a) or, say, panel (b), though for purposes of knowledge accumulation they would be treated as identical outcomes. In fact even more misleading situations could occur. If the points in panel (a) had been a little spread about the 45 degree line, the correlation coefficient would have dropped below one, and the result in panel (b) would have been deemed to exhibit the most similarity.

#### 5 The Concordance Coefficient

[Discuss psychometric and medical literature on measures of agreement.]

I advocate the use of Lin's concordance correlation coefficient,  $\rho_c$ , to measure the degree of agreement across electoral outcomes. The principal advantage of  $\rho_c$  is that it is intuitive to understand, its statistical properties are well-understood, and it does not impose unreasonable assumptions on the data. In fact, statistically speaking,  $\rho_c$  is the analogue of r, with the loss function computed with respect to the agreement rather than the regression line. Following Lin (1989; 2000), assume that n independent pairs of election outcomes  $(v_{1i}, v_{2i})$ , i = 1, 2, ..., n, are taken from a bivariate population with a mean given by  $(\mu_1, \mu_2)$  and variance-covariance

matrix  $\begin{pmatrix} \sigma_1^2 & \sigma_{12} \\ \sigma_{21} & \sigma_2^2 \end{pmatrix}$ . Then define the coefficient of concordance as:

$$\rho_c = \frac{2\sigma_{12}}{\sigma_1^2 + \sigma_2^2 + (\mu_1 - \mu_2)^2} \tag{1}$$

 $\rho_c$  has the following desirable property:  $-1 \le -|\rho| \le \rho_c \le |\rho| \le 1$ , where  $\rho$  is the population analogue of Pearson's r (i.e.,  $r = \hat{\rho}$ ). Thus, like  $\rho$ , the magnitude of  $\rho_c$  is bounded.  $\rho_c = 1$  if and only if each pair of outcomes is in perfect agreement;  $\rho_c = -1$  if and only if each pair is in perfect reverse agreement. Moreover,  $\rho_c = \rho$  if and only if  $\sigma_1 = \sigma_2$  and  $\mu_1 = \mu_2$ . Taken together, these properties mean that except in the unusual case when the mean and variance of the two sets of electoral outcomes are the same, the concordance coefficient will be smaller in absolute magnitude than the correlation coefficient. Thus every piece of research that that employs correlation when it should be using concordance will be overestimating the degree of continuity.

Inference is straightforward. If we plug sample values into the quantities on the right hand side of equation (1) we obtain

$$\hat{\rho}_c = \frac{2s_{12}}{s_1^2 + s_2^2 + (\bar{v}_1 - \bar{v}_2)^2},\tag{2}$$

where  $s_1, s_2$ , and  $s_{12}$  are the variances and covariance of the two electoral outcomes, and  $\bar{v}_1$  and  $\bar{v}_2$  are the means of the two outcomes. If we assume that our paired samples are drawn from a bivariate normal distribution, then  $\hat{\rho}_c$  consistently estimates  $\rho_c$  with an asymptotic normal distribution centered at  $\rho_c$  and with variance

$$\sigma_{\hat{\rho}_c}^2 = \frac{1}{n-2} \left[ \frac{(1-\rho^2)\rho_c^2(1-\rho_c^2)}{\rho^2} + \frac{2\rho_c^3(1-\rho_c)(\mu_1-\mu_2)^2}{\rho\sigma_1\sigma_2} - \frac{\rho_c^4(\mu_1-\mu_2)^4}{2\rho^2\sigma_1^2\sigma_2^2} \right]. \tag{3}$$

Confidence intervals may be computed by substituting sample values into equation (3). Lin's concordance coefficient is implemented in the EpiCentre package for R and the concord package in Stata.

# 6 Replications

For Key and Munger's data,  $\rho_c = .61$ . Thus, in reporting r they overestimate the degree of Republican political continuity by only around 10 percent. Given the volume of subsequent research on the "standing electoral decision" it is fortunate that this early finding is robust. In this section I replicate and apply the concordance standard to two other analyses of political continuity: one that examines the roots of socialist strength in post-Franco Spain, and another that documents the return of the Right in post-communist Hungary.

# 6.1 Socialist Continuity in Spain

What accounts for the strength of the Spanish Socialist Party (PSOE) in the aftermath of the post-Franco transition to democracy? How the PSOE survived despite

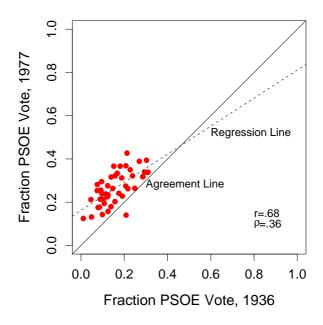


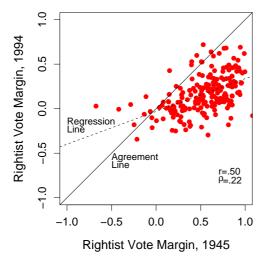
Figure 5: Support for the socialist PSOE across provinces in Spain, 1936-1977

roughly four decades of repression and extensive economic upheavals under Franco's dictatorship has remained a puzzle. Linz (1980) assesses the extent of continuity in voting patterns by reporting the correlation across provinces between the 1936 and 1977 vote for a series of parties and blocs, including the Socialists. He finds overall discontinuity in voting patterns except in the case of the Socialists, where he reports r=.6 for the two elections. Maravall (1982: 173-174), who terms the geographic continuities in PSOE support "remarkable," attributes this persistence to the power of political memory: "Communities, parties and families would have acted as the 'social carriers' of ideologies, beyond the restrictions and repressions of a non-democratic regime."

Or did they? I attempted to replicate Linz's and Maravall's findings. The provincial-level electoral data for the 1977 elections to the constituent assembly are available in Caramani (2000: 83-84). The data for the last pre-Franco elections in 1936 are reported in Linz and Miguel (1977). Neither Linz nor Maravall indicate the need to account for changes in provincial borders between the two elections. I thus assume that the names of the 52 provinces, which seem not to have changed between the two elections, continue to refer to the same patches of territory.

I was not able to exactly replicate the PSOE vote correlation of r=.6. This is no doubt due to slightly different sample sizes and subtleties in the data. Maravall reports that he uses all 52 provinces but I could find PSOE data from 1936 for only 48 provinces. Moreover, it is not clear whether Linz or Maravall is employing "pueblo" or "capital" data, both of which are available for 1936. Nonetheless, if the tiny Spanish provinces that are enclaves in Africa, Ceuta and Melilla, are also excluded from the analysis, and the pueblo data are used, then we can compute r=.68 for the correlation in PSOE support between 1936 and 1977. This value is nearly 10 percent higher than what they report.

The data, the lines of regression and agreement, and the values of both the



	r	$ ho_c$	n
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Komárom	.57	.38	60
Pest	.50	.31	151
Veszprém	.50	.22	192
Hungary		.22	2541

Figure 6: Rightist persistence in Hungary, 1945-1994. The graph on the left illustrates the degree of persistence across municipalities in Veszprém county; the table on the right lists both correlation (r) and concordance  $(\rho_c)$  coefficients for the three high-persistence provinces and the entire country.

correlation and concordance coefficients are illustrated in Figure 5. Here we see, in contrast with the Key and Munger example, the perils of using the regression rather than the agreement line as the baseline against which persistence is measured. First,  $\rho_c = .36$  whereas r = .68: the magnitude of the concordance coefficient is barely more than half that of the correlation. There is thus not nearly as much regional persistence in support of the PSOE as has been commonly assumed. Second, the reasons for the lack of continuity are not that former PSOE strongholds were decimated by the Franco regime. If that were true the regression line would be flatter, and many more one-time PSOE bastions would have ended up below the line of agreement. Rather, PSOE discontinuity occurred because it gained strength in every region but one (Las Palmas).

# 6.2 Rightist Continuity in Hungary

To what extent did communism transform the partisan political profile of the Hungarian electorate? Unlike in Spain, where the PSOE managed to survive the Franco dictatorship, in Hungary four decades of communism largely destroyed attachments to individual parties. What survived was more diffuse partisan loyalties to leftist and rightist parties. Wittenberg (2006) explores continuities in Hungarian support for rightist parties between pre- and post-communism by comparing municipal-level electoral outcomes from the 1945 national parliamentary elections with corresponding outcomes from the 1990, 1994, and 1998 elections. Although he discusses the differences between agreement and correlation (see pp. 71-73), in the end he assesses persistence by correlating the results for each of Hungary's 19 provinces. He notes remarkable rightist persistence between 1945 and 1994, where the correlations exceed 0.5 in three provinces: Komárom, Pest, and Veszprém (see the map on p.69). I replicated these results for these three provinces of high continuity and for Hungary as a whole.

The results appear in Figure 6, consisting of a graph on the left and a table on the right. The graph on the left, of the municipalities in the province of Veszprém, is similar to those already reported for Indiana and Spain, with lines of regression and agreement as well as the values of the correlation and concordance coefficients.<sup>4</sup> The table on the right lists results for Veszprém in addition to the other high-persistence provinces and Hungary. As in the case of Spain, these results illustrate the dangers of reliance on the correlation coefficient to measure continuity. Significantly,  $\rho_c$  is substantially less than r in all cases. Though this does not preclude persistence in some municipalities, in particular those nearer to the line of agreement, it does indicate that in the aggregate rightist continuity was less prevalent than we think.

#### 7 Limitations of the Method

Thus far I have argued that the concordance coefficient  $\rho_c$  is far preferable to Pearson's r for problems of political continuity that can be characterized by a scatterplot of units such as the basic schema of Figure 1. However, both  $\rho_c$  and r are correlational measures. Correlating units such as precincts, municipalities, or provinces that are themselves aggregations of individual voters, carries with it its own pitfalls. This is true whether  $\rho_c$  or r is employed. The principal problem is the ecological fallacy, which tells us that whatever magnitude of persistence is found at one level of aggregation will be a poor estimate of the magnitude at a lower level of aggregation. That is, province-level or municipal-level correlations may or may not (and usually do not) provide accurate estimates of any individual-level continuities.

Suppose two elections are temporally proximate, say separated by two years, and the electoral data are available at the municipality-level. In such an instance the quantity of interest for most researchers would be the fraction of voters who choose the same party in both elections. Perfect continuity would obtain if the party's constituency at the second election were identical with that at the first election. The ecological fallacy tells us that  $\rho_c$  or any other correlational measure would serve as a very unreliable estimate of this quantity. A better strategy, assuming the absence of relevant survey data and that the same population is voting in each election, is to estimate voter transition probabilities using ecological inference techniques (see Achen and Shively 1995; King 1997). Such techniques yield direct estimates of the proportions of a party's voters at the first election that remain loyal or defect at the second election.

Although the ecological fallacy would appear to severely limit the utility of correlational measures for measuring political continuity, in fact it does not. Ecological inference is applicable only when the elections are proximate enough in time to render plausible the assumption that the same population is voting in both elections. However, researchers of political continuity are typically interested in stability over long periods of time, often decades. This is certainly true of work on redemocratization but also quite prevalent in studies of partisan realignment in the US. The

<sup>&</sup>lt;sup>4</sup>The Hungarian party system changed from one dominated by two blocs in 1945 to domination by three blocs in 1994. To compensate for this the victory margins of the Right over the Left are correlated rather than actual support for the Right and Left. The axes thus range from -1, representing a purely leftist settlement, to 1, representing a purely rightist one.

further apart in time the two elections are, the greater the transformation in the voting population due to factors such as death, the entry of new voters, and in- and out-migration, and the less reasonable the assumption that the population remains the same. Where the elections are separated by many decades there is thus no constant population whose party loyalty over time can be estimated, and correlational measures such as  $\rho_c$  remain preferable.

#### 8 Extensions

#### 9 Conclusion

Shapiro (2002: 616) argues for the value of "problematizing redescription" in the study of politics, noting that "[i]t is intrinsically worthwhile to unmask an accepted depiction as inadequate and to make a convincing case for an alternative as more apt." I have tried to engage in such a task in this paper, which has argued that the common correlation coefficient, Pearson's r, should be abandoned as a measure of congruence across two electoral outcomes. At best r indicates a very weak form of continuity; at worst, and almost always in practice, it is fundamentally misleading, implying continuity when in fact there is discontinuity.

Researchers have two options other than r. First, if persistence is defined as relative strength over time, they should compute a rank order correlation coefficient that is appropriate for the data. For example if the data are coded in terms of "weak" and "strong" electoral performances, then Yule's Q may be computed. Second, if persistence is understood as absolute agreement, a far more preferable conceptualization, they should compute Lin's concordance coefficient,  $\rho_c$ . Modules for estimating  $\rho_c$  are available for both R and Stata.

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